

Date Mailed: January 4, 2001

Sheet 1 of 1

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FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

## IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

MS146911.1/40062.65US01

Application Number:

09/598,534

Applicant: Brian A. LaMacchia et al.

Filing Date: June 21, 2000

Group Art Unit: 2785

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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JAN 10 2001  
ITC 2100 MAILROOM

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

GG.		"Decentralized Trust Management", by M. Blaze, J. Feigenbaum, J. Lacy, in Proceedings of the 1996 IEEE Symposium on Security and Privacy, pp. 164-173. Also available as a DIMACS Technical Report. This paper describes PolicyMaker. Available in Postscript at <a href="http://www.research.att.com/~jlf/pubs/oakland96proc.ps">http://www.research.att.com/~jlf/pubs/oakland96proc.ps</a> .
GG.		"Proceedings of the Sixth International World Wide Web Conference", Santa Clara, CA, April 1997, by Y. Chu, J. Feigenbaum, B. LaMacchia, P. Resnick, M. Strauss, REFEREE: Trust Management for Web Applications. Available from <a href="http://www.farcaster.com/papers/www6-referee/index.htm">http://www.farcaster.com/papers/www6-referee/index.htm</a> .
GG.		"The Role of Trust Management in Distributed System Security", M. Blaze, J. Feigenbaum, J. Ioannidis, A. Keromytis, Secure Internet Programming: Security Issues for Distributed and Mobile Objects, Lecture Notes in Computer Science, vol. 1603, Springer, Berlin, 1999, pp. 185-210. Postscript available from <a href="http://www.research.att.com/~jlf/pubs/sip99.ps">http://www.research.att.com/~jlf/pubs/sip99.ps</a> .
GG.		"Managing Trust in an Information-Labeling System", European Transactions on Telecommunications, 8 (1997), pp. 491-501. (Special issue of selected papers from the 1996 Amalfi Conference on Secure Communication in Networks.) Postscript from <a href="http://www.research.att.com/~jlf/pubs/ett97.ps">http://www.research.att.com/~jlf/pubs/ett97.ps</a> .
GG.		"The Evolution of Java Security", by Koved, Nadalin, Neal and Lawson, including information on Java-based systems, IBM
GG.		Information on KeyNote including "The KeyNote Trust-Management System" from RFC 2704, at <a href="http://www.cis.upenn.edu/~angelos/keynote.html">http://www.cis.upenn.edu/~angelos/keynote.html</a> ; and "Using the KeyNote Trust Management System" by Matt Blaze, at <a href="http://www.crypto.com/trustmgt/">http://www.crypto.com/trustmgt/</a> .
GG.		"Trust management on the World Wide Web", by Khare and Rifkin, at <a href="http://www7.scu.edu.au/programme/posters/1902/com1902.htm">http://www7.scu.edu.au/programme/posters/1902/com1902.htm</a> .
GG.		"Compliance Checking in the PolicyMaker Trust Management System", by Blaze, Feigenbaum and Strauss, AT&T Labs-Research.



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EXAMINER

DATE CONSIDERED

7/21/04

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<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: MS146911.1/40062.65US01	Application Number: 09/598,534
	Applicant: Brian A. LaMacchia et al.	
	Filing Date: June 21, 2000	Group Art Unit: 2131

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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						YES	NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
GB		International Search Report for PCT/US01/16057
GB		International Search Report for PCT/US01/16127
GB		Anand, R. et al., "A Flexible Security Model for Using Internet Content," Proceedings of the 16 <sup>th</sup> Symposium on Reliable Distributed Systems. SRDS '97, Durham, NC, Oct. 22-24, 1997 & Proceedings of the Symposium on Reliable Distributed Systems, Los Alamitos, CA: IEEE Computer Soc, US, Oct. 22, 1997
GB		"Logically Extensible Privilege Control Set," IBM Technical Disclosure Bulletin, IBM Corp., New York, NY, Vol. 34, No. 7B, Dec. 1, 1991.



EXAMINER <i>Bushman</i>	DATE CONSIDERED <i>7/21/04</i>
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